

Date: 06.11.2025

To
The Compliance Department
BSE Limited

Subject: Undertaking confirming non-usage of Software as a Service (SaaS) based solutions for the period ended September 30, 2025

Dear Sir/Madam,

With reference to SEBI Circular No. SEBI/HO/MIRSD2/DOR/CIR/P/2020/221 dated November 03, 2020, and the advisory for Financial Sector Organisations regarding the use of Software as a Service (SaaS) based solutions, we hereby confirm that M/s. Capitalgate Investment Advisors Private Limited, registered as a SEBI Registered Investment Adviser vide Registration No. INH000017709, has not used any SaaS-based solutions for its operations during the period April 1, 2025 to September 30, 2025.

The details of M/s. Capitalgate Investment Advisors Private Limited, Investment Adviser is disclosed in **Annexure A** enclosed herewith.

We further undertake to inform SEBI/BSE in case any SaaS-based solution is adopted in the future, in compliance with the above-mentioned circular.

Thanking you,

Yours faithfully,
For and on behalf of M/s. Capitalgate Investment Advisors Private Limited

Karthik Balachandran Athreya
Director
DIN: 01797014

Annexure A

S.NO	IA/RA Enlistment Number	IA/RA Name	Whether using any SaaS based solutions (Yes / No)	Name of SaaS/ Hosted Service	Type (SaaS or Hosted Service)	Critical / non-critical	Location of hosting of SaaS/ Hosted Service (Within India/ Outside India)	Comments (if any)
1	INH000017709	Capitalgate Investment Advisors Private Limited	NA	NA	NA	NA	NA	NA